**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shigetoyo Matsumura et al.

Application No.: 09/761,043

Group No.: n/a

Filed: January 15, 2001 Examiner: n/a

For:

COLLOIDAL SILICA SLURRY

**Assistant Commissioner for Patents** Washington, D.C. 20231

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

3/6/01

Reg. No.: 40,693

Tel. No.: 727-538-3800 Customer No.: 24040

Signature of Practitioner

Dennis G. LaPointe

Mason & Associates, P.A.

17757 US Hwy 19 N., Suite 500

Clearwater, FL 33764

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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Date: March 6, 2001

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Lia H. Costello, Legal Assistant





Practitioner's Docket No. 1581.29

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For:

**COLLOIDAL SILICA SLURRY** 

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED

### INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement
The following sections are being submitted for this Information Disclosure Statement:

1.

**Preliminary Statements** 

2.

Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

### CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*

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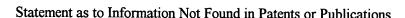
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Date: March 6, 2001

Signature Lia H. Costello, Legal Assistant

(type or print name of person certifying)





4. Identification of Person(s) Making This Information Disclosure Statement

### Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

No Japanese translation is available but note that Japanese references were based on Friority from US applications as highlighted.

- 4. Identification of Person Making This Information Disclosure Statement
  The person making this certification is:
  - a. the practitioner who signs below on the basis of the information supplied by the inventor(s), supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c)), and in the practitioner's file.

Date: 3/6/01

Reg. No.: 40,693

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